



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Date Allowed: December 8, 2004

KIKUGAWA, Atsushi et al.

Group Art Unit: 1742

Serial Number: 09/924,476

Examiner: John P. Sheehan

Filed: August 9, 2001

P.T.O. Confirmation No.: 2643

For: RARE EARTH METAL-BASED PERMANENT MAGNET HAVING CORROSION-RESISTANT FILM AND METHOD FOR PRODUCING THE SAME

REQUEST FOR RETURN OF FORM PTO-1449

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 17, 2004

Sir:

An Information Disclosure Statement was filed on December 23, 2002 and on June 22, 2004. Copies of these Information Disclosure Statements are attached.

It is respectfully requested that the Examiner return a copy of the Form PTO-1449 which accompanies the Information Disclosure Statement filed on December 23, 2002 and on June 22, 2004, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,
HANSON & BROOKS, LLP

William L. Brooks
Attorney for Applicants
Reg. No. 34,129

WLB/lrj
Atty. Docket No. 010983
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Enclosure: (2) Information Disclosure Statements filed on 12/23/02 & 6/22/04.
Date Stamped Post Card (copies)



BEST AVAILABLE COPY

CARD NO: 19039

U.S. Patent Application Docket No: 010983
Serial No: 09/924,476 Filed: 08/09/01
Patent Number: Issued:
Applicant(s): KIKUGAWA, Atsushi et al.

Papers filed herewith on: 12/23/02

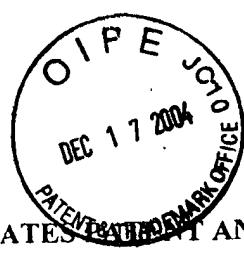
Other: Information Disclosure Statement; European Search Report and 2 References



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

SGA/ARF



RECEIVED
DEC 24 2002
TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: KIKUGAWA, Atsushi et al.

Group Art Unit: 1742

Serial No.: 09/924,476

Examiner: Andrew L. Ottmans

Filed: August 9, 2001

P.T.O. Confirmation No.: 2643

FOR: RARE EARTH METAL-BASED PERMANENT MAGNET HAVING
CORROSION-RESISTANT FILM AND METHOD FOR PRODUCING THE SAME

Do Not Use IDS

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents
Washington, D.C. 20231

December 23, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached along with a European Search Report and Annex to the European Search Report.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

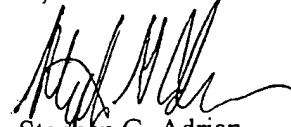
BEST AVAILABLE COPY

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Stephen G. Adrian
Attorney for Applicants
Reg. No. 32,878

SGA/arf

Atty. Docket No. 010983



Suite 1000, 1725 K Street, N.W.
Washington, D.C. 20006

23850

(202) 659-2930

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; European Search Report and references (2)



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 010983	Serial No. 09/924,476
	Applicant(s): KIKUGAWA, Atsushi et al.	
	Filing Date: August 9, 2001	Group Art Unit: 1742

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

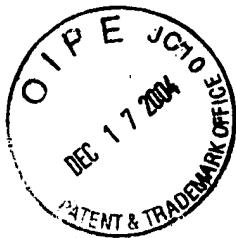
FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
_____	AF EP 1 011 112 A2	06/21/2000	Europe	
_____	AG			
_____	AH			
_____	AI			
_____	AJ			

OTHER DOCUMENTS

_____	AK	Patent Abstracts of Japan, vol: 2000, no. 10, 17, November 2000 and JP 2000 199074, 18 July 2000.
_____	AL	

Examiner	Date Considered
----------	-----------------



CARD NO: 09791

U.S. Patent Application Docket No: 010983
Serial No: 09/924,476 Filed: 08/09/01
Patent Number: Issued:
Applicant(s): KIKUGAWA, Atsushi et al.

Papers filed herewith on: 06/22/04

Fees: \$ 180.00

Other: IDS w/PTO-1449; copy Chinese OA w/translation



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

WLB/ALW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AF 1742
Jew AH

In re the Application of: **KIKUGAWA, Atsushi et al.**

Group Art Unit: 1742

Serial No.: **09/924,476**

Examiner: **OLTMANS, Andrew L.**

Filed: **August 9, 2001**

P.T.O. Confirmation No.: **2643**

For. **RARE EARTH METAL-BASED PERMANENT MAGNET HAVING
CORROSION-RESISTANT FILM AND METHOD FOR PRODUCING THE SAME**

**INFORMATION DISCLOSURE STATEMENT AND STATEMENT
PURSUANT TO 37 CFR 1.97(d)**

Attn: Group Director

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

June 22, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the document listed on the attached Form PTO-1449. One copy of the document is attached.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office can, in the

06/24/2004 SSESHE1 00000089 09924476
180.00 DP
0 FC:1806

U.S. Patent Application Serial No. 09/924,476
Information Disclosure Statement

first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,
HANSON & BROOKS, LLP
William L. Brooks
William L. Brooks
Attorney for Applicant
Reg. No. 34,129

WLB/mla
Atty. Docket No. 010983
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



23850
PATENT TRADEMARK OFFICE

Enclosures: \$180.00 fee
PTO 1449
Reference
Copy of Chinese Patent Office Action with translation



INFORMATION DISCLOSURE CITATION PTO-1449	Docket No. 010983	Serial No. 09/924,476
	Applicant(s): KIKUGAWA, Atsushi et al.	
	Filing Date: August 9, 2001	Group Art Unit: 2643



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
_____	AF 1259754A	07/12/2000	China	No
_____	AG			
_____	AH			
_____	AI			
_____	AJ			

OTHER DOCUMENTS

_____	AK	Copy of Chinese Patent Office Action with translation for corresponding Chinese Patent Application No 01124565.4 dated April 9, 2004.
_____	AL	

Examiner	Date Considered
----------	-----------------